

## SUMMARY OF ANTY-MONEY LAUNDERING POLICY

Banco Security (the "Bank"), Administradora General de Fondos S.A. ("AGF") and Valores Security S.A., Corredores de Bolsa (the "Broker", and together with the Bank and AGF, as "Security") are Chilean special registered companies, supervised by the Commission of The Financial Market (CMF), which oversees the financial and securities markets in Chile ([www.cmfchile.cl](http://www.cmfchile.cl)). As financial institutions, Security also responds to the Financial Analysis Unit ([www.uaf.cl](http://www.uaf.cl)), the agency responsible for preventing money laundering and financing terrorism, and observes special related legislation which imposes Security, among other things, the obligation to share information with the UAF and other financial institutions regarding individuals, entities, organizations and countries for purposes of identifying and, where appropriate, reporting activities that Security suspects may involve possible terrorist activity or money laundering (the "AML Legislation").

To comply with current AML Legislation, Security has developed AML compliance programs that consider, among other elements, the following (the "Security AML Programs"):

- A designated Chief Compliance Officer
- AML/CFT, KYC and PEP policies and procedures
- Customer risk assessment and due diligence processes
- Sanction screening processes
- Automated activity and transaction monitoring systems
- Suspicious activity and cash transaction report processes
- Independent audit to the compliance program
- AML/CTF risk awareness training programs for employees
- Board and senior management approval and oversight of the compliance programs

The Security AML Programs are reviewed and updated on a regular basis to ensure appropriate policies, procedures and internal controls are in place to account for both changes in regulations and changes in our business.



**Julio Barriga Tapia**

Chief Compliance Officer

August 31, 2022

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### Considerations:

- 1.- This certificated is limited to matters of Chilean law and best practices, as published and as applied. No investigation of the laws or practices of any jurisdiction other than those of Chile has been made.
- 2.- This certificate is given for the sole benefit of the addressee in connection with its expressed request. As informative it is, this certificate does not imply, create or give any right, claim or action against Security. Its existence or contents may not be disclosed to any person except for the addressee.